

## FORMALITIES LETTER

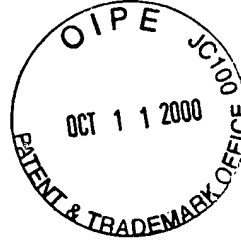


\*OC00000005318259\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/599,544	06/23/2000	Joseph Herbst	108339-09033

Arent Fox Kintner Plotkin & Kahn PLLC  
Suite 600  
1050 Connecticut Avenue NW  
Washington, DC 20036-5339



Date Mailed: 08/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$210.
  - \$54 for 3 total claims over 20.
  - \$156 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1030.**

*A copy of this notice MUST be returned with the reply.*

*Chin Yun et al*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/12/2000 HNDOR1 00000065 09599544

01 FC:101	710.00 OP
02 FC:102	160.00 OP
03 FC:103	54.00 OP
04 FC:105	130.00 OP

Section #

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Joseph HERBST

Application No.: 09/599,544

Filed: June 23, 2000

Attorney Dkt. No.: 108339-09033

For: APPARATUS AND METHOD FOR CONTROLLING DATA FLOW IN A NETWORK SWITCH



**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

Date: October 11, 2000

**ATTN - BOX MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Application dated August 11, 2000

(copy attached), the following are enclosed:

- ☒ \$130.00 as payment of the surcharge for the Notice to File Missing Parts;
- ☒ \$924.00 as payment for the filing fee and extra claim fees;
- ☐ \$\_\_\_\_\_ as payment for a \_\_\_\_\_ month extension of time;
- ☒ Originally-executed Declaration/Power of Attorney;
- ☐ Originally-executed Verified Statement Claiming Small Entity Status;
- ☐ Verified Translation of the Application [with a Preliminary Amendment]; and
- ☐ Other.

Applicants(s) are also enclosing the following:

☐ A Information Disclosure Statement with Form PTO-1449, \_\_\_\_\_ refs. [and a search report];

☐ A Submission of Priority Application No. \_\_\_\_\_ filed \_\_\_\_\_ along with \_\_\_\_\_ certified priority document(s);

☒ Originally executed Assignment with Form PTO-1595; and


☒ \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

A check in the amount of \$1,094.00 is enclosed to cover the above fees.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,

  
\_\_\_\_\_  
Douglas H. Goldhush  
Registration No. 33,125

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
1050 Connecticut Avenue, N.W.,  
Suite 600  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
Fax: (202) 638-4810